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INDIVIDUAL 401(K) FEES

Services	Fees
Initial Setup Fee Including Plan Document ¹	\$350 with Roth
Year 1 Fee	\$445
Annually After Year 1	\$595
Annual Buy / Sell Transactions Included	4
Additional Buy / Sell Transactions	\$50

Included Administrative Services

Form SS4	Included
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Individual 401(k) includes Online Account Access

ADDITIONAL INDIVIDUAL 401(K) ADMINISTRATIVE SERVICES

Additional Participant ²	\$175	Loan Setup Fee	\$250
Rerun of Contribution	\$150	Loan Default Fee	\$150
Distribution / Hardship	\$75	Form 1099-R	\$100
Plan Amendment	\$150	Form 5500 Preparation	\$350

SERVICES & OPTIONAL FEES

Express Account Open ³ (Recommended)	\$50	Document Handling Fee	\$5
Check Fee / ACH Fee	\$5	Stop Payment	\$30 each
ACH Declined / NSF Fee	\$30	Returned Check Fee	\$30 each
Cashier's Check	\$15	Late Fee	\$25 per 30 days
Express Investment Processing (Same Day / 24hrs)	\$50	Plan Termination Fee	\$500
Wire Fee	\$35 each	Auto Plan Loan Repayment	\$30 / year
Overnight Mail Fee	\$40	5500 Extension Filing	\$150
IRA Special Service Fee	\$100 per hour		
<i>(Including but not limited to extensive research)</i>			

! Horizon Trust requires all accounts to maintain a \$500 Minimum Cash Balance at all times.

Fees are subject to change with 30 days written notice. ¹Plan establishment document, Basic Plan Document, and IRS Determination Letter will be sent via email to be electronically signed by our 3rd party administrator. ²Additional Participants are any 401(k) accounts opened under the same plan sponsor. ³Express Account Open significantly reduces the transfer time of your funds.

Signature of Participant:

Participant Name: *(Print or Type)*

Date: *(MM/DD/YYYY)*