



**MAILING ADDRESS**  
 Horizon Trust Company  
 P.O. Box 30007  
 Albuquerque, New Mexico 87190

**FOR OVERNIGHT NIGHT MAIL ONLY:**  
 Horizon Trust Company  
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**FEE SCHEDULE: 401K**

SERVICE FEES	
Initial Set Up Fee Including Plan Document <sup>1</sup>	\$350 with Roth
Annual Fee	Fee
Year 1	\$445
Annually After Year 1	\$495
Annual Buy/Sell Transactions Included	2
Additional Buy or Sell Transactions	\$50

INCLUDED ADMINISTRATIVE SERVICES
<b>Form SS4</b>

Individual 401K includes Online Account Access

ADDITIONAL 401K ADMINISTRATIVE SERVICES	
Additional Participant <sup>2</sup>	\$175
Rerun of contribution	\$150
Loans Default Fee	\$150
Loans Setup Fee	\$225
Distribution/Hardship	\$75
1099R	\$100
Form 5500 Preparation	\$325
Plan Amendment	\$150
Consultations and Custom Solutions	Hourly

**CUSTODIAL FEES**

Individual 401k Express Open <sup>3</sup> (recommended) .....	\$50	Late Fee .....	\$25 per 30 days
Check Fee .....	\$5	Plan Termination Fee .....	\$500
Cashiers Check .....	\$15	IRA Special Service Fee .....	\$100 per hour
Express Investment Processing Fee (same day/24hrs) .....	\$50	(this includes but is not limited to extensive research)	
Wire Fee .....	\$35 each	Auto Plan Loan Repayment .....	\$30 per year
Overnight Mail Fee .....	\$40	Special Document Handling Fee .....	\$5
Stop Payment (within 10 business days) .....	\$30 each	ACH declined/NSF .....	\$30
Returned Check Fee .....	\$30 each		

<sup>1</sup> Plan establishment document, Basic Plan Document, & IRS Determination Letter will be sent via email to be e-signed by our 3rd party administrator

<sup>2</sup> Additional participants are any 401k accounts opened under the same plan sponsor.

<sup>3</sup> Express Account Open significantly reduces your transfer time. Fees subject to change with 30 day notice.

Signature : \_\_\_\_\_ Date : \_\_\_\_\_