



MAILING ADDRESS
 Horizon Trust Company
 P.O. Box 30007
 Albuquerque, New Mexico 87190

FOR OVERNIGHT NIGHT MAIL ONLY:
 Horizon Trust Company
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FEE SCHEDULE: 401K WITH CHECKBOOK LLC

SERVICE FEES	
Initial Set Up Fee Including Plan Document ¹	\$1,250
Annual Fee	Fee
Year 1	\$445
Annually After Year 1	\$495
Annual Buy/Sell Transactions Included	2
Additional Buy or Sell Transactions	\$50

INCLUDED ADMINISTRATIVE SERVICES	
Form SS4	
<i>Individual 401K includes Online Account Access</i>	
ADDITIONAL 401K ADMINISTRATIVE SERVICES	
Additional Participant ²	\$175
Rerun of contribution	\$150
Loans Default Fee	\$150
Loans Setup Fee	\$225
Distribution/Hardship	\$75
1099R	\$100
Form 5500 Preparation	\$325
Plan Amendment	\$150
Consultations and Custom Solutions	Hourly

The buying or selling of an asset constitutes a transaction.

*The setup fee of \$1,250 will include the formation of the business LLC entity and operating agreement outlining the legal formation and use of the entity by a 3rd party affiliate of Horizon Trust Company. If you choose to setup your own LLC up to \$400 of the setup fee will be allocated to the company of your choice.

**State filing fees will be charged by the state at the time of company formation. Normally this fee is \$200, depending on the state.

CUSTODIAL FEES

Individual 401k Express Open ³ (recommended)	\$50	Late Fee	\$25 per 30 days
Check Fee	\$5	Plan Termination Fee	\$500
Cashiers Check	\$15	IRA Special Service Fee	\$100 per hour
Express Investment Processing Fee (same day/24hrs)	\$50	(this includes but is not limited to extensive research)	
Wire Fee	\$35 each	Auto Plan Loan Repayment	\$30 per year
Overnight Mail Fee	\$40	Special Document Handling Fee	\$5
Stop Payment (within 10 business days)	\$30 each	ACH declined/NSF	\$30
Returned Check Fee	\$30 each		

¹ Plan establishment document, Basic Plan Document, & IRS Determination Letter will be sent via email to be e-signed by our 3rd party administrator

² Additional participants are any 401k accounts opened under the same plan sponsor.

³ Express Account Open significantly reduces your transfer time. Fees subject to change with 30 day notice.

Signature : _____ Date : _____