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P.O. Box 52417, Phoenix, AZ 85072-2417

### INDIVIDUAL 401(K) FEES

Services	Fees
Initial Setup Fee Including Plan Document <sup>1</sup>	\$350 with Roth
Year 1 Fee	\$445
Annually After Year 1	\$595
Annual Buy / Sell Transactions Included	4
Additional Buy / Sell Transactions	\$50

#### Included Administrative Services

Form SS4	Included
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*Individual 401(k) includes Online Account Access*

### ADDITIONAL INDIVIDUAL 401(K) ADMINISTRATIVE SERVICES

Additional Participant <sup>2</sup> .....	\$175	Distribution / Hardship .....	\$75
Rerun of Contribution .....	\$150	Plan Amendment .....	\$150
Loan Setup Fee .....	\$350	Form 1099-R .....	\$100
Loan Default Fee .....	\$150	Form 5500 Preparation .....	\$350
Loan Maintenance Fee .....	\$50 / year		

### SERVICES & OPTIONAL FEES

Express Account Open <sup>3</sup> (Recommended) .....	\$50	Document Handling Fee .....	\$5
Check Fee / ACH Fee .....	\$5	Medallion Guarantee .....	\$25
ACH Declined / NSF Fee .....	\$30	Stop Payment .....	\$30 each
Cashier's Check .....	\$15	Returned Check Fee .....	\$30 each
Express Investment Processing (Same Day / 24hrs) .....	\$50	Late Fee .....	\$25 per 30 days
Wire Fee .....	\$35 each	Plan Termination Fee .....	\$500
Overnight Mail Fee .....	\$40	Auto Plan Loan Repayment .....	\$30 / year
IRA Special Service Fee .....	\$100 per hour	5500 Extension Filing .....	\$150
<i>(Including but not limited to extensive research)</i>			

**!** Horizon Trust requires all accounts to maintain a \$500 Minimum Cash Balance at all times.

*Fees are subject to change with 30 days written notice. <sup>1</sup>Plan establishment document, Basic Plan Document, and IRS Determination Letter will be sent via email to be electronically signed by our 3rd party administrator. <sup>2</sup>Additional Participants are any 401(k) accounts opened under the same plan sponsor. <sup>3</sup>Express Account Open significantly reduces the transfer time of your funds.*

<b>Signature of Participant:</b> <input style="width: 95%; height: 30px;" type="text"/>	<b>Participant Name: (Print or Type)</b> <input style="width: 95%; height: 30px;" type="text"/>	<b>Date: (MM/DD/YYYY)</b> <input style="width: 95%; height: 30px;" type="text"/>
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