



# ANNUAL FEE SCHEDULE

## Individual 401(k) TF Accounts

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📍 Horizon Trust Correspondence, PO BOX 27068, Newark NJ 07101

### INDIVIDUAL 401(k) TF FEES

#### Service Fees

Setup Fee and Year 1 Annual Fee	\$495
Annually After Year 1 <sup>1</sup>	\$350

### TRANSACTIONAL FEES

Wire .....	Free	Additional Rollover/Transfer In .....	Free
Check .....	Free	Paper Statements .....	Free
ACH .....	Free	Document Handling .....	Free
Cashiers Check .....	Free	Recurring Bill Pay .....	Free
Distribution .....	Free	Account "Research" .....	Free
Medallion Stamp .....	Free	Partial Transfer Out .....	Free
Asset Purchase .....	Free	Matured Note .....	Free

### ADDITIONAL INDIVIDUAL 401(K) ADMINISTRATIVE SERVICES

Loan Setup Fee .....	\$350	Form 1099-R .....	\$100
Loan Default Fee .....	\$150	Form 5500 Preparation .....	\$350
IRS Required Plan Amendments .....	\$150	5500 Extension Filing Fee .....	\$150

### SERVICES & OPTIONAL FEES

Express Account Open <sup>2</sup> (Recommended) .....	\$50	Stop Payment .....	\$30 each
ACH Declined/NSF Fee .....	\$30	Returned Check Fee .....	\$30 each
Overnight Mail Fee .....	\$50	Late Fee .....	\$25 per 30 days
Plan Termination Fee .....	\$500	Sponsor/Employer Change Fee .....	\$500
Required Rollover .....	\$250		

Fees are subject to change with 30 days written notice. <sup>1</sup>Renewal fees are billed annually on the anniversary month. <sup>2</sup>Express Account Open is same day processing when establishing a new account. All Horizon Trust accounts require a credit card on file as a secondary payment option. The credit card on file will be charged for account-related fees if the preferred fee payment method is a credit card or if the account lacks sufficient funds to cover the fees. By signing I agree not to dispute any credit card charges made by Horizon Trust Company for fees owed and agreed upon.

Signature of Account Owner:

Account Owner Name: (Print or Type)

Date: (MM/DD/YYYY)