



ANNUAL FEE SCHEDULE

Individual 401(k) Accounts

☎ (888) 205 - 6036 ☎ (505) 212 - 0494 ✉ operations@horizontrust.com

📍 Horizon Trust Correspondence, PO BOX 27068, Newark NJ 07101

INDIVIDUAL 401(k) FEES

Service Fees

Setup Fee and Year 1 Annual Fee	\$495
Annually After Year 1 ¹	\$750

TRANSACTIONAL FEES

Wire	Free	Additional Rollover/Transfer In	Free
Check	Free	Paper Statements	Free
ACH	Free	Document Handling	Free
Cashiers Check	Free	Recurring Bill Pay	Free
Distribution	Free	Account "Research"	Free
Medallion Stamp	Free	Partial Transfer Out	Free
Asset Purchase	Free	Matured Note	Free

ADDITIONAL INDIVIDUAL 401(K) ADMINISTRATIVE SERVICES

Loan Setup Fee	\$350	Form 1099-R	\$100
Loan Default Fee	\$150	Form 5500 Preparation	\$350
IRS Required Plan Amendments	\$150	5500 Extension Filing Fee	\$150

SERVICES & OPTIONAL FEES

Express Account Open ² (Recommended)	\$50	Stop Payment	\$30 each
ACH Declined/NSF Fee	\$30	Returned Check Fee	\$30 each
Overnight Mail Fee	\$50	Late Fee	\$25 per 30 days
Plan Termination Fee	\$500	Sponsor/Employer Change Fee	\$500
Required Rollover	\$250		

Fees are subject to change with 30 days written notice. ¹Renewal fees are billed annually on the anniversary month. ²Express Account Open is same day processing when establishing a new account. All Horizon Trust accounts require a credit card on file as a secondary payment option. The credit card on file will be charged for account-related fees if the preferred fee payment method is a credit card or if the account lacks sufficient funds to cover the fees. By signing I agree not to dispute any credit card charges made by Horizon Trust Company for fees owed and agreed upon.

Signature of Account Owner:

Account Owner Name: (Print or Type)

Date: (MM/DD/YYYY)